

**PATENT**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Atty Docket No. 99-009  
JHR/am

In re application of:

Richard H. Weese, et al

Serial No.: 09/520,249

Filed: March 7, 2000

For: **Aqueous Systems for Polymeric Matrices**

Assistant Commissioner of Patents  
Washington, DC 20231

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Group Art Unit: 1713

Examiner: M. Reddick

Official  
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NOV 20 2000  
GROUP 1713

**CERTIFICATE OF FACSIMILE**

Sir:

I hereby certify that the following correspondence is being sent by facsimile to the Assistant Commissioner of Patents, Washington, D.C. 20231 (Fax No. (703) 305-3599) on the date indicated next to my signature below:

Preliminary Amendment (6 pages)  
Amendment Transmittal Letter (In Duplicate)  
Certificate of Facsimile

Date

11/20/2000

Signature

Jeff H. Radtke

**AMENDMENT TRANSMITTAL LETTER****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Docket Number 99-009  
 In re Application: Richard H. Weese, et al  
 Serial No. 09/520,249 : Group Art Unit: 1713  
 Filed: March 7, 2000 : Examiner: M. Reddick

For: **Aqueous Systems for Polymeric Matrices**

Assistant Commissioner for Patents  
 Washington, DC 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

1. ☒ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Additional Fee
Total	47	Minus	35	= 12	X \$18.00	\$ 216.00
Independent	4	Minus	3	= 1	X \$80.00	\$ 80.00
First Presentation of Multiple Dependent Claims					+ \$260.00	
Total						\$ 296.00

If the entry in Col. 1 is less than the entry in Col 2, write "0" in Col. 3.

If the "Highest Number Previously Paid For" IN THIS SPACE is less than "20", write "20" in this space.

If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of the prior amendment or the number of claims originally filed.

2. ☐ No additional fee is required.
3. ☒ Please charge my Deposit Account No. 18-1850 in the amount of: \$ 296.00
4. ☐ A check in the amount of \_\_\_\_\_ is attached.
5. ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 18-1850. A duplicate copy of this sheet is attached.
- 5(a) ☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- 5(b) ☒ Any patent application processing fees under 37 CFR 1.17.

*Jeffrey H. Rosedale*

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November 20, 2000

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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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GROUP 17

In re application of:

Richard H. Weese, et al

Serial No.: 09/520,249

Group Art Unit: 1713

Filed: March 7, 2000

Examiner: M. Reddick

For: Aqueous Systems for Polymeric Matrices

Assistant Commissioner of Patents  
Washington, DC 20231

Sir:

**PRELIMINARY AMENDMENT**

Please add the following claims:

-- 18. An aqueous additive system for direct addition to polymeric matrix resins comprising at least one polymeric additive selected from

- i) polymers comprising, as polymerized units, alkyl acrylates;
- ii) polymers comprising, as polymerized units, alkyl (meth)acrylates;
- iii) polymers comprising, as polymerized units, 1,3-dienes;
- iv) polymers comprising, as polymerized units, aromatic vinyl monomers;
- v) polymers comprising, as polymerized units, acrylonitrile;

wherein the polymeric additive is present in amounts from 5 to 70 percent by weight of the additive system, and